

University of Kentucky  
College of Health Sciences

## ATCN Evidences for Appointment, Promotion and Tenure

### Narrative

- The DOE will be a determinant of the faculty member's evaluation in each of the mission areas.
- Consideration will also be given to the unit of assignment in terms of research resources and instructional requirements.
- Required and expected evidences are indicated. Additional evidences contributing to high merit are provided to be illustrative and are not listed in any particular order. It is not expected that candidates will demonstrate all additional evidences. In all cases, both the quality and the quantity of the contributions will be considered.

### Research Title Series

Rank	Must demonstrate (Administrative Regulations)	Evidences
Assistant Research Professor	<p><b>Must demonstrate</b> (Administrative Regulations)</p> <ul style="list-style-type: none"> <li>• Independent capability for conducting reliable research or other creative work supported through contracts, grants, or other designated funds</li> <li>• Potential for significant professional growth in the field of research, creative or scholarly activity</li> <li>• Holds the terminal academic degree appropriate to the field of assignment</li> </ul>	<p><b>Required</b></p> <ul style="list-style-type: none"> <li>• Terminal academic degree appropriate to the field of assignment.</li> <li>• Capability for conducting research or other scholarly activity by successfully competing for grants, contracts, and other designated funds</li> </ul> <p><b>Required for re-appointment (in alignment with DOE)</b></p> <ul style="list-style-type: none"> <li>• Participated in successful efforts to obtain extramural funding (e.g., grants, contracts) that supports one's research efforts or already has secured multi-year funding.</li> </ul> <p><b>Additional Types of Evidences</b></p> <p><b>Research or Other Scholarly Activity</b></p> <ul style="list-style-type: none"> <li>• Peer-reviewed publications with consideration given to H-Index</li> <li>• Professional or scholarly presentations</li> <li>• Grant submissions with consideration given to encouraging scores</li> <li>• Participation in team research initiatives or multi center research</li> <li>• Capability to direct graduate theses and dissertations</li> <li>• Demonstrated mentorship of staff and students in research</li> </ul> <p><b>Service</b></p> <ul style="list-style-type: none"> <li>• Participation in department/college committees, task forces, governance bodies</li> <li>• Participation in community activities related to professional expertise and the university mission.</li> <li>• Contributions to relevant state, regional and/or national professional organizations.</li> </ul>
Associate Research Professor	<p><b>Meets the requirements for assistant professor, plus:</b></p> <ul style="list-style-type: none"> <li>• Evidence of continuous improvement and contribution by the individual in research or other creative activity supported through contracts, grants, or other designated funds</li> <li>• Has earned regional recognition for excellence appropriate to the field of assignment</li> </ul>	<p><b>Required (in alignment with DOE)</b></p> <ul style="list-style-type: none"> <li>• Obtained independent extramural funding (e.g., grants, contracts) that essentially supports their research efforts as PI, Co-PI or Co-I or as expected given the role and responsibilities as approved by the Chair</li> <li>• Peer-reviewed publications with consideration given to authorship, especially corresponding and/or primary authorship</li> </ul> <p><b>Additional Types of Evidences</b></p> <p><b>Research or Other Scholarly Activity</b></p> <ul style="list-style-type: none"> <li>• Demonstrated mentorship of faculty, staff, and students in research</li> <li>• Author of book chapter(s) in field; white paper</li> <li>• Author of invited review article(s)</li> <li>• Progression in an appropriate index (H-Index or similar depending on field of research)</li> <li>• Presentations at regional and national level conferences</li> <li>• Grant reviewer</li> <li>• Reviewer for journals and books</li> </ul> <p><b>Service</b></p> <ul style="list-style-type: none"> <li>• Participation in department/college committees, task forces, governance bodies</li> <li>• Participation in community activities related to professional expertise and the university mission.</li> <li>• Contributions to relevant state, regional and/or national professional organizations.</li> </ul>

**Rank****Must demonstrate  
(Administrative Regulations)****Research Professor**

- In opinion of colleagues and administrator's individual is outstanding in research or other creative activity supported through contracts, grants, and other designated funds.
- Has earned national, and perhaps international, recognition.

**Evidences****Required (in alignment with DOE)**

- Record of extramural funding that essentially supports their research efforts
- Extramural funding record as PI or multi-PI
- Sustained record of peer-reviewed publications with consideration given to authorship, especially corresponding and/or primary authorship

**Additional Types of Evidences****Research or Other Scholarly Activity**

- Demonstrated mentorship of faculty, staff, and students in research
- Author/co-author or editor/co-editor of book and/or book chapters in field; white paper
- Author of invited review articles
- Progression in an appropriate index (H-Index or similar depending on field of research)
- Professional commendations/awards
- Editor/reviewer for journals
- Invited reviewer for book
- Grant review panel
- Presentations at national and/or international conferences
- Recognition via national or international interviews/broadcasts
- Patents, copyrights, intellectual property

**Service**

- Participation in or lead department/college committees, task forces, governance bodies
- Substantial participation in community activities related to professional expertise and the university mission
- Contributions to relevant state, regional and/or national professional organizations